
CODI

CORE DIAGNOSTIC INSTRUMENT



COUNTRY REPORT OUTLINE



SYSTEM

Country Report Outline

Preface

Description of the assessment implementation and process, who was involved, and respective roles and responsibilities (national stakeholders, development partners, etc...), including timeframe.

1. Introduction

- Background / rationale for carrying out the assessment
- Definition of social protection in the country – what is meant according to national strategy papers, laws etc.
- Description of key features of the social protection system and programs for CODI assessment
- Description of key sources of information (provide list in Annex)

2. Country Context

- Snapshot of the institutional arrangements and main social protection actors (ministries, agencies) – add chart or organogram if possible
- Geopolitical context / state of development of social protection in the country over years
- Summarize, and as needed, discuss selected social, political, and economic developments and human development indicators at national and local level (to be adapted by country, based on data availability and local focus)
- Snapshot of social protection needs, poverty profile, risks, and vulnerability country population is facing at national and local level (to be adapted by country, based on data availability and local focus)

Table 1: Country at a Glance (this table will be broken up by sections based on indicators availability)

Indicators	Most recent year (Y)	Y-1	Y-2	Y-3	Y-4
Economic indicators					
GDP per capita in current \$					
GDP per capita in PPP\$					
CPI index					
National average wage					
Average wage in the private sector in general or key economic sectors (estimate)					
Average wage in the public sector (estimate)					
Minimum wage (differentiate by sector if necessary)					
Demographic indicators					
Total male population					
Total female population					
Demographic distribution of the population (<i>by age groups and sex, ex. 0-14, 15-24, 25-64, 65+</i>)					
Dependency rate (old age, youth, combined)					
Fertility rates					
Life expectancy (at birth, remaining life expectancy at age 40, 60, and 65, by sex)					
Infant Mortality rate					
Maternal Mortality rate					
Net migration rate (<i>net migration / total population</i>)					
Labor market indicators					
Share of 15-64, 65+, 15+ population by primary activity: (<i>by sex, urban/rural, age group</i>)					
Employed					
Unemployed					
Underemployed (<i>if available</i>)					
Inactive					

Indicators	Most recent year (Y)	Y-1	Y-2	Y-3	Y-4
Labor market indicators (continued)					
Share of employment by status (<i>by sex, urban, groups of age (15-24, 25-64 and 65+)</i>)					
Wage workers in formal employment					
Wage employees in informal employment					
Self-employed registered (<i>formal</i>)					
Self-employed non registered (<i>informal</i>)					
Self-employed without employees (<i>own account workers</i>)					
Unpaid family workers					
Domestic workers					
Workers in agriculture					
Workers in other specific sector					
Share of employment by sector(<i>by sex, urban/rural, age group</i>)					
Manufacturing/Industry					
Service					
Labor productivity (annual variation, in %) if available					
Social indicators					
Poverty headcount according to national poverty line(s) and international definitions (<i>by rural/urban, age group, sex and household type</i>)					
National poverty line(s) (<i>by rural/urban if applicable</i>)					
Poverty gap (<i>by rural/urban, age group, sex and household type</i>)					
Income inequality (<i>Gini coefficient, P80/P20</i>)					
Primary Enrollment rate (<i>by sex</i>)					
Primary school completion rates (<i>by sex</i>)					
Secondary school enrollment rate (<i>by sex</i>)					

Indicators	Most recent year (Y)	Y-1	Y-2	Y-3	Y-4
Social indicators (continued)					
Secondary school completion rates (by sex)					
Food security/malnourishing (FAO TBC))					
Human development index					
Total public expenditure (% of GDP)					
Education					
Health					
Social protection					
Total government revenue					
Government revenue from domestic sources					
Government revenue from external sources					
Total health expenditure					
Number of health staff (physicians and nurses) per 10,000 population					
Number of health staff (disaggregated by region/province and rural/urban)					
General Government expenditure on health					
Private expenditure on health					
Private health expenditure paid out of pocket (OOP)					

3. State of the Social Protection Sector

This section will map the social protection system and summarize, describe, and discuss information collected through CODI Data Collection Framework. The discussion should follow the aspects of the social protection system as they are identified and outlined in the What Matters Guidance Note. To the extent applicable and relevant, reference to the system results and specific indicators should be made.

A. Policy

- Discussion of the policy and legal frameworks and its key aspects and country social protection strategy as outlined in Key Area 1 of the Guidance Note.
- Discussion of social protection sector objectives and the extent to which they are aligned with the social protection needs of the population, as outlined in Key Areas 1 and 2 of the Guidance Note.
- Discussion of institutional and policy making framework, roles and responsibilities of key actors as outlined in Key Area 3 of the Guidance Note.
- Discussion of the institutional set up for policymaking, oversight, implementation, and coordination. A diagram / schematic / organogram should be developed to illustrate the institutional relationships for policymaking, implementation, coordination, and monitoring. This should also include an analysis of stakeholder participation in the policy making process and program design issues (refer to Key Area 3 of the Guidance Note).
- Discussion of the institutional capacity to design and implement social protection policy and programs, as outlined in Key Area 4 of the Guidance Note.
- Discussion of processes and practice related to social protection financing and expenditure along the framework outlined in Key Area 5 of the Guidance Note, including budget planning, approval, and execution. Insert related tables (section B.1 below, Tables 3 and 4).
- Discussion of mechanisms on information and awareness raising along the framework outlined in Key Area 6 of the Guidance Note.
- Discussion of data monitoring and evaluation systems at the social protection system level and linkages with program level M&E as outlined in Key Area 7 of the Guidance Note.

B. Program Performance, Design, and Operations

Programs Mapping: Describe all major existing social protection programs with program description (see Module 2 of the Guidance Note) of all programs by type, function, target population, benefits, etc. Insert the **Programs Inventory Table** (Table 2 below) from the CODI Data Collection Framework.

For “key programs” in CODI assessment, describe how key programs were selected.

Program Design: For programs selected and for which the Data Collection Framework was applied, describe and discuss program, including key elements of the programs design (see Module 2 of Guidance Note):

- Eligibility criteria (see Key Area 8 of Guidance Note.)
- Benefit design (level, frequency, duration, indexation, exit rules, conditionality, and mutual responsibility) (see Key Area 9 of Guidance Note.)
- Expenditure and financing, harmonization and integration. Insert relevant table from the CODI Data Collection Framework on Benefit Description. Identify strengths and weaknesses and areas for improvement (see Key Area 10 of Guidance Note.)
- Incentives for workers, employers, tax authorities, service providers, and potential beneficiaries (see Key Area 11 of Guidance Note.)
Identify strengths and weaknesses and areas for improvement.

Program Implementation: For programs selected and for which the Data Collection Framework was applied, describe and discuss key elements of their delivery (see Module 3 of Guidance Note):

- Identification (see Key Area 12 of Guidance Note.)
- Eligibility verification (see Key Area 13 of Guidance Note.)
- Enrollment (see Key Area 14 of Guidance Note.) Insert Table 5 from section B.2 below.
- Benefit delivery (see Key Area 15 of Guidance Note.)
- MIS, M&E (see Key Area 16 of Guidance Note.)
- Complaint and appeal mechanisms (see Key Area 17 of Guidance Note.)
- Information and awareness raising (see Key Area 18 of Guidance Note.)
Identify strengths and weaknesses and areas for improvement.

Table 2: Social Protection Programs at a Glance

Refer to Inventory table in CODI Data Collection Framework

(Information should be provided for ALL SP programs operating in country)

	Program A	Program B	Program C	...
Program / Benefit Category				
Risk Covered / Function				
Targeted Population Group				
Objective				
Qualifying Conditions				
Benefit Level & Indexation				
Responsible Implementing Agency				
Geographical Areas Covered				
Number of Beneficiaries (value / latest year)				
Total Expenditure (LCU) (value / latest year)				

B.1 Financing & Expenditures

Discussion of budget allocation expenditures, revenues, and financing for the SP system overall and by key programs over last five year period or more based on data availability along the framework outlined in Key Area 10.

Table 3: Annual Expenditure by Key Programs
(refer to chart C2 in CODI Data Collection Framework)

Indicators	Most recent year (Y)	Y-1	Y-2	Y-3	Y-4
Total annual public spending on SP (LCU current prices)					
% of GDP					
% of total public spending					
Total annual public spending on SPL (LCU constant prices)					
Total spending on non-contributory benefits					
Benefit cost					
Operating costs					
Total spending on contributory social insurance					
Benefit cost					
Operating costs					
<i>NOTE: For training and social care services operating cost may overlap with the total program cost. Clarify in a note to the table.</i>					
Total annual public spending on SP by key program (as % of GDP):					
Program A (Benefit cost, Operating cost)					
Program B					
Program C					
...					
Total annual public spending on SP by program (as % of GDP):					
Program A					
Program B					
Program C					
...					
Level of public expenditure per beneficiary (LCU, in % of GDP per capita, in % of national poverty line)					
Program A					
Program B					
Program C					
...					

Indicators	Most recent year (Y)	Y-1	Y-2	Y-3	Y-4
Ratio of operating costs to total costs by program:					
Program A					
Program B					
Program C					
...					

Table 4: Source of Financing by Key Programs, most recent year (refer to chart C3 in CODI Data Collection Framework)

Sources of Financing	Contributory Social Insurance	Non-Contributory Benefits	Labor Market Programs	Total
General Tax Revenues				
Employees & Employer's Contributions				
Dedicated Earmarked Taxes				
Transfers From Other Schemes				
Other				
By Key Programs	Program A	Program B	Program C	Program D
General Tax Revenues				
Employees & Employer's Contributions				
Dedicated Earmarked Taxes				
Transfers From Other Schemes				
Other				

B.2 Coverage of Beneficiaries (protected persons, including persons legally covered, persons effectively covered (registered), and benefit recipients)

Table 5: Coverage by Key Programs (refer to chart C1 in CODI Data Collection Framework)

4. System Assessment & Benchmarking

Social Protection System Assessment and Benchmarking: Discuss the performance of the social protection system along the 10 Performance Criteria and identify strengths, weaknesses, and issues. Summarize the findings in the overview table below.

Table 6: Coverage by Key Programs

	Latent	Advanced
System Performance Criteria	1	2	3	4
Inclusiveness				
Gender equality, non-discrimination, and special needs				
Coverage: non-contributory				
Coverage: contributory				
Effective coverage				
Accessibility				
Adequacy				
Benefit levels compared to social protection needs				
Benefit level compared to national benchmarks				
Impact of benefits on economic activity				
Benefits compared to national / program objectives				
Redistributory or poverty reduction effects				
Benefit provision and services delivery standards				
Appropriateness				
Formulation of objectives, targets, and timeframes				
Alignment of SP policies / programs / strategy				
Combination of schemes and programs				
Composition of expenditure				
Evidence-based policy and program design				

	Latent	Advanced
System Performance Criteria	1	2	3	4
Respect for Rights & Dignity				
Entitlements anchored in law				
Enforcement mechanisms: public authority, complaint and appeal mechanisms, and public awareness				
Respect for dignity and human rights				
Confidentiality of private information				
Governance & Institutional Capacity				
Reporting mechanisms, roles, and responsibilities anchored in law				
Program implementation guidelines / operational manuals state reporting mechanisms, roles, and responsibilities				
Enforcement mechanisms				
Institutional supervisory capacity				
Staff implementation capacity				
Stakeholder participation				
Financial & Fiscal Sustainability				
Domestic or external financing				
Contributory capacity taken into account in financing arrangements				
Alignment of budget allocation with policy priorities				
Long term budget planning in line with demographic developments				
Long term financial commitments considered in legal framework and social protection strategy				
Reliability and progressivity of social protection financing				

	Latent	Advanced
System Performance Criteria	1	2	3	4
Coherence & Integration				
Complementarity of social protection policies / degree of duplication and overlap				
Institutional framework to ensure coordination				
Integration of program implementation				
Possibility of integrated analysis of social protection financing				
Coherence with other policy areas				
Responsiveness				
Responsiveness of budget and implementation mechanisms				
Availability, quality, and timeliness of data on trends and social protection programs				
Information dissemination mechanisms				
Mechanisms for parametric adjustments				
Coverage of emergency response operations in practice				
Cost-effectiveness				
Cost of program delivery				
Incentive Compatibility				
Consideration of incentives in program design				
Incentives for persons covered				
Incentives for employers				
Incentives for scheme administrators				

5. Conclusions

- Summary of key strengths and weaknesses of the performance of the SP system
- Discuss areas for future research / attention
- Caveats on the information quality and availability

Annexes

- References
- Filled out Data Collection Framework
 - Policy Module
 - Program Module – Program A
 - Program Module – Program B
 - Program Module – Program C
 - Program Module – Program D
 - Program Inventory Table
 - Table C1: Coverage of Beneficiaries
 - Table C2: Spending Level
 - Table C3: Source of Financing
- System Assessment Table